

FORM 2

reg 7(3)

Agent Suitability Assessment Form **AGENT NUMBER:**

Name of Financial Institution. DIAMOND TRUST BANK (U) LTD

Month: _____ 2018

Suitability Criteria	Details of your suitability findings and means of verification	Agent fulfils criteria (yes/no)
Name of Agent: Address: Business entity		
Negative information from the credit reference bureaus or gathered from other sources		
Any criminal record in matters relating to finance, fraud, honesty or integrity		
Reputation (based on references from at least two people of good social standing hailing from the same locality as the person and who have known the person for at least three years, for instance LC 1 or LC 2)		
Business or work experience		
Source of funds		
The business track record of the entity in the last three years where applicable		
Any other matter which negatively or positively impacts on the person		
Overall assessment: person suitable to perform agent banking? (yes/no)		

Name of Assessor: **Date.....**

Position: MANAGER AGENT BANKING

Signature.....